MAN 1 6 7000 JULY STRADENER

PATENT Customer No. 22,852 Attorney Docket No. 08442.0002-04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 3738

Examiner: to be assigned

In re Application of:

Joseph C. CAUTHEN

Application No.: 10/085,040

Filed: 03/01/02

For: Intervertebral Disc Annulus Stent

Commissioner for Patents Washington, DC 20231

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicant brings to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application.

Copies of the listed documents, including any copending patent applications, are attached.

Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

All submitted documents are in English.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the

FINNEGAN HENDERSON FARABOW GARRETT& DUNNER !!!

1300 I Street, NW Washington, DC 20005 202.408.4000 Fax 202.408.4400 www.finnegan.com

PATENT Customer No. 22,852 Attorney Docket No. 08442.0002-04

documents as prior art against any claim in the application and applicant determines that the cited documents do not constitute "prior art" under United States law, applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,

GARRETT & DUNNER/L.L.P.

Dated: May 16, 2002

By: Eric P. Raciti

Reg. No. 41,475

FINNEGAN HENDERSON FARABOW GARRETT& DUNNER LLP

1300 I Street, NW Washington, DC 20005 202.408.4000 Fax 202.408.4400 www.finnegan.com